

PLEASE READ BEFORE BEGINNING THE APPLICATION:

1. A document completeness review will be done as applications are received
2. Following the completeness review, applicants with missing documentation will receive a resubmission letter from CSIT to supply any missing or incomplete documentation within ten (10) business days. Applicants will be given thirty (30) business days to submit the tax clearance from the issuance of the resubmission letter. Applicants that fail to meet the resubmission deadlines will be declined.
3. Grants will be awarded to applicants with complete applications on a first come, first served basis.
4. Applicants can submit multiple voucher applications within a 12-month period, up to the \$40,000 maximum award amount

CSIT Catalyst and Clean Tech R&D Voucher Program (Rolling Application)

- Provides a Voucher grants up to **\$40,000**.
- The grant must be used for Research and Development Projects that require specialized equipment at a core research facility, lab or makerspace.
- Applicants can apply for either the Catalyst Research and Development Voucher Program Pilot, Round 2, or the Clean Tech Research and Development Voucher Program Pilot, Round 3. Applicants cannot apply for both voucher programs.

Projects must fall within the following industry sectors:

Clean Tech (R&D) Voucher Pilot Program, Round 3

- Chemicals/Advanced Materials
- Energy Distribution/Storage
- Energy Efficiency
- Energy Generation
- Green Buildings
- Transportation
- Waste Processing
- Water and Agriculture

Catalyst (R&D) Voucher Pilot Program, Round 2

- Advanced Manufacturing
- Advanced Transportation and Logistics
- Film and Digital Media
- Life Sciences
- Non-Retail Food and Beverages
- Professional and Financial Services
- Technology

SBIR/STTR Financial Assistance Grant Program Pilot Round 5 (Rolling Applications)

- **\$25,000** Direct Funding for Phase I, Fast Track or Direct to Phase II Federal award/contract winners ("Direct Funding").
- **\$50,000** Bridge Funding give to applicants that successfully completed Phase I of Federal SBIR/STTR program and have applied for Phase II funding but have not heard back. ("Bridge Funding")
- CSIT Direct Financial Assistance SBIR/STTR Program may only apply for one component (either a Direct Funding or Bridge Funding). Applicants cannot apply for both grants.

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Start a New Application

Please check the applicable (Only one may be selected):

- Clean Tech Research and Development (R&D) Voucher Pilot Program - Round 3
- Catalyst Research and Development (R&D) Voucher Pilot Program - Round 2

Please enter a brief description of your project (up to 50 characters). The description is for your reference only. It will not be submitted with the application.

Begin Application

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Primary Contact Information

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Application ID #203535

Project: asjdjfd
Product: Catalyst Research and Development (R&D) Voucher Pilot
Program - Round 2

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Applicant Information

Legal Company Name:
[\(Use link to validate Company name\)](#)

DBA (doing business as) Name:
(must include DBA certification)

Mailing Address (Address should match that of tax clearance):

Mailing Address Line 2:

City/Town:

State:

ZIP Code:

State of Formation of Company:

Year Established:

Legal Structure:

Industry ([NAICS Code](#)):

Federal Tax ID Number (EIN):
(EIN should match that of tax clearance)

NJ certificate of Business registration number:

Do you have a NJ Minority-owned business state certification?

Do you have a NJ Women-owned business state certification?

Do you have a NJ Veteran-owned business state certification?

Has the applicant applied for or been issued a license, including a conditional license, from the New Jersey Cannabis Regulatory Commission (NJ-CRC) to operate as a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service; or does the applicant employ or intend to employ, or is the applicant itself, a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service?

If the applicant is a property owner, developer, or operator of a project: is the property being used or intended for use, in whole or in part, (1) by or to benefit a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service, (2) to employ a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service, (3) by a person or entity that has applied or intends to apply to the New Jersey Cannabis Regulatory Commission (NJ-CRC) for a license to operate as a cannabis cultivator, cannabis manufacturer, cannabis wholesaler, cannabis distributor, cannabis retailer, or cannabis delivery service or has applied for certification to be, or intends to employ, a certified personal use cannabis handler to perform work for or on behalf of a cannabis establishment, distributor, or delivery service?

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Company: Commission on Science Innovation and Technology
Project: asjdjlfjd
Product: Catalyst Research and Development (R&D) Voucher Pilot Program - Round 2

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Primary Contact Information

First Name:
Last Name:
Email:
Phone:
Race:

Ethnicity
Select all that apply:

- American Indian / Native Alaskan
- Asian
- Black or African American
- Pacific Islander / Native Hawaiian
- White
- Other
- Prefer not to say

Gender:

Veteran Status:

Disability Status:

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Company: Commission on Science Innovation and Technology

Project: asjdjlfjd

**Product: Catalyst Research and Development (R&D) Voucher Pilot
Program - Round 2**



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Eligibility Questions

Is the company authorized and in good standing to conduct business in NJ as evidenced by a current NJ tax clearance certificate listing CSIT? (All certificates must list the Commission on Science, Innovation and Technology otherwise it will be rejected)?

Does the Company have less than 50 full-time employees (calculated on a FTE full time equivalent basis working 35hrs per week) at time of application?

Does the company have a minimum of one (1) full time worker (calculated on a 35-hour work week)? A worker may be the founder and may be paid or unpaid.?

Is 50% or more of the cumulative hours worked by all workers, founders, and contractors conducted in NJ? (calculated on an FTE (Full Time Equivalent) basis – 35 hrs. per week).?

Does the company have less than or equal to five million dollars (\$5,000,000) in prior calendar year sales revenue (excluding grant revenue)?

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Project: asdjdlfjd
Product: Catalyst Research and Development (R&D) Voucher Pilot Program - Round 2

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Project Description

What industry sector does your proposed R&D project target?

- Advanced Manufacturing
- Advanced Transportation and Logistics
- Film and Digital Media
- Life Sciences
- Non-Retail Food and Beverage
- Professional and Financial Services
- Technology

* Required Field

Explain the R&D Project that your company is developing and for what application:

This program is intended to provide access to equipment and facilities at participating NJ colleges, universities and federal laboratories for conducting research and development (R&D) activity. Please provide a brief description of the R&D activity including any relevant equipment needed.


Do you have a preference for which NJ University/Federal lab/Non-profit facility you want to work with?

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Worker Log

Please complete the worker log table. List all individuals that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.). Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well. Do not include: Advisors, board members, etc.

Employee Name	Position	Type	# Hours worked per week	Verification Document
---------------	----------	------	-------------------------	-----------------------

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Worker Log

Please complete the worker log table. **List all individuals that work for the company at the time of application (E.g. full-time, part-time, consultants, interns, etc.).** Please include the CEO and/or founder's information as well. Verification documentation will be uploaded later in the application process. Please note that if the company uses a Professional Employment Organization (PEO) for payroll processing, the PEO-form issued by the NJ Department of Labor must be submitted as well. Do not include: Advisors, board members, etc.

Employee Name:

Position:

Date Hired: 

Type:

of hours worked per week:

Is the employee's work location in NJ?

Primary work location address:

Is the employee getting paid?

Does the employee have equity in the business?

Verification document:

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Diversity, Equity & Inclusion

In this section, we would like more information about the diversity of your organization. Answers have no impact on eligibility for assistance and providing information is optional, to be used for tracking purposes only. Within each of these questions, "Prefer not to answer" can be selected if that is the case OR if the question is not applicable to your organization..

With which of the following does the majority owner of the applicant organization self-identify (if applicable)?

- Minority
- Woman
- Veteran
- LGBTQ
- Disabled
- None of the above
- Prefer not to answer

* Required Field

Please select which of the following certifications the applicant organization currently holds:

- Small Business Enterprise (SBE)
- Disadvantaged Business Enterprise (DBE)
- Minority-Owned Business Enterprise (MBE)
- Woman-Owned Business Enterprise (WBE)
- Veteran-Owned Business Enterprise (VOB)
- Disabled Veteran-Owned Business Enterprise (DVOB)
- None of the above
- Prefer not to answer

* Required Field

Additional DE&I Information

In this section, we would like more information about any actions your organization has taken or is taking with respect to Diversity, Equity, and Inclusion.

Please describe whether your organization's leadership team is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).

- Question is not applicable
- Prefer not to answer

* Required Field

Please describe whether your organization's Board of Directors is made up of a diverse group of individuals. Please provide as much detail as possible about the composition of your leadership team as it relates to groups that have been historically underrepresented (minority, woman, veteran, LGBTQ, disabled).

- Question is not applicable
- Prefer not to answer

* Required Field

~ Required Field

Please describe any diversity initiatives, programs or plans the applicant organization has established.

- Question is not applicable
- Prefer not to answer

* Required Field

Language Assistance

Is English your primary language?

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New Jersey Commission on Science, Innovation, and Technology Legal Questionnaire

Please note "Applicant" includes individuals and all types of entities applying for and receiving NJEDA financial assistance, incentives or contracts, including but not limited to: for profit businesses, non-profit organizations, municipalities, counties, colleges, universities and other institutions of higher learning.

Persons (entities or individuals) applying for NJEDA programs are subject to the Authority's Disqualification/Debarment Regulations (the "Regulations"), which are set forth in N.J.A.C. 19:30-2.1, et seq. Applicants are required to answer the following background questions ("Legal Questionnaire") pertaining to causes that may lead to debarment, disqualification, or suspension from eligibility under the Regulations and Executive Orders 34 (Byrne 1976) and 189 (Kean 1988) after consideration of all relevant mitigating factors. Municipalities, counties and state or governmental entities are not required to submit this Legal Questionnaire and may leave it empty.

***Note that this form has recently been modified.
Please review in its entirety prior to providing any responses or certifications.***

DEFINITIONS

Notwithstanding any terms defined elsewhere or otherwise herein, the following definitions shall govern in responding to this Legal Questionnaire:

"Affiliates" means any entities or persons having an overt or covert relationship such that any one of them directly or indirectly controls or has the power to control another. This includes (however is not limited to):

- entities or persons having an ownership interest in the applicant of 30% or greater;
- entities in which an applicant holds an ownership interest of 30% or greater and are either named in the application and/or agreement or will receive a direct benefit from the financing, incentive or other agreement with NJEDA; and
- other entities that are named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

"Legal Proceedings" means any civil, criminal, or administrative or regulatory proceedings in a State or Federal court or administrative tribunal in the United States or any territories thereof.

RELEVANT AFFILIATES

In accordance with the above, please identify any individuals or entities that hold a 30% or more ownership in the applicant:
Are there any individuals or entities that hold a 30% or more ownership interest in the applicant?

Affiliates Owners

In accordance with the above, please identify any entities in which the applicant holds a 30% or more interest, and are either named in the application and/or agreement, or will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

Add Affiliates Owners

Entity/Individual

Ownership Percentage

Applicant-Owned Affiliates

In accordance with the above, please identify any entities in which the applicant holds a 30% or more interest, and are either named in the application and/or agreement, or will receive a direct benefit from the financing, incentive, or other agreement with NJEDA.

Add Applicant-Owned Affiliates

Entity

FEIN # - if applicable

Other Affiliates

In accordance with the above, please identify any other entities not already identified that are either named in the application and/or agreement, or that will receive a direct benefit from the financing, incentive, or other agreement with NJEDA:

Add Other Affiliates

Entity

FEIN # - if applicable

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 **HELP / Assistance**

Please provide a list of all owner entities or persons considered to be "Affiliates" of Applicant.

Name of Affiliate (Entity or Person):

Owner Percentage:


 %

Add Affiliate

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Please provide a list of all owner entities or persons considered to be "Affiliates" of Applicant.

Name of Affiliate Entity:

Federal Employer Identification Number (FEIN) (if applicable):

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RELEVANT TIMEFRAMES

Responses should be given based on the following "look-back" periods:

- For civil matters, those that were either pending or concluded within 5 years of the reporting date;
- For criminal matters, those that were either pending or concluded within 10 years of the reporting date;
- For environmental regulatory matters, those that were either pending or concluded within 10 years of the reporting date; and
- For all other regulatory matters, those that were either pending or concluded within 5 years of the reporting date.

Note that in cases where Applicant has previously submitted and certified a legal questionnaire to the Authority, the Applicant may refer to its prior legal questionnaire and report only those matters that are new or have changed in status since the date of last reporting.

Part A. Past Proceedings

Has Applicant, or any identified Affiliates of Applicant, been found or conceded or admitted to being guilty, liable or responsible in any Legal Proceeding, or conceded or admitted to facts in any Legal Proceedings that demonstrate responsibility for any of the following violations or conduct? (Any civil or criminal decisions or verdicts that have been vacated or expunged need not be reported.)

1. Commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract, or subcontract there under, or in the performance of such contract or subcontract.

2. Violation of the Federal Organized Crime Control Act of 1970, or commission of embezzlement, theft, fraud, forgery, bribery, falsification or destruction of records, perjury, false swearing, receiving stolen property, obstruction of justice, or any other offense indicating a lack of business integrity or honesty.

3. Violation of the Federal or State antitrust statutes, or of the Federal Anti-Kickback Act (18U.S.C. 874).

4. Violation of any law governing the conduct of elections of the Federal Government, State of New Jersey or of its political subdivision.

5. Violation of the "Law Against Discrimination" (P.L. 1945, c169, N.J.S.A. 10:5-1 et seq., as supplemented by P.L. 1975, c127), or of the act banning discrimination in public works employment (N.J.S.A. 10:2-1 et seq.) or of the act prohibiting discrimination by industries engaged in defense work in the employment of persons therein (P.L. 1942, c114, N.J.S.A. 10:1-10, et seq.).

6. To the best of your knowledge, after reasonable inquiry, violation of any laws governing hours of labor, minimum wage standards, prevailing wage standards, discrimination in wages, or child labor.

7. To the best of your knowledge after reasonable inquiry, violation of any law governing the conduct of occupations or professions of regulated industries.

8. Debarment by any department, agency, or instrumentality of the State or Federal government.

9. Violation of the Conflict of Interest Law, N.J.S.A. 52:13D-12 et seq., including any of the following prohibitions on vendor activities representing a conflict of interest, or failure to report a solicitation as set forth below:

- i. No person shall pay, offer or agree to pay, either directly or indirectly, any fee, commission, compensation, gift, gratuity, or other thing of value of any kind to any CSIT officer or employee or special CSIT officer or employee, as defined by N.J.S.A. 52:13D-13(b) and (e), with which such person transacts or offers or proposes to transact business, or to any member of the immediate family as defined by N.J.S.A. 52:13D-13(i), of any such officer or employee, or partnership, firm, or corporation with which they are employed or associated, or in which such officer or employee has an interest within the meaning of N.J.S.A. 52:13D-13(g).
- ii. The solicitation of any fee, commission, compensation, gift, gratuity or other thing of value by any CSIT officer or employee or special CSIT officer or employee from any person shall be reported in writing by the person to the Attorney General and the NJEDA Ethics Liaison Officer.
- iii. No person may, directly or indirectly, undertake any private business, commercial or entrepreneurial relationship with, whether or not pursuant to employment, contract or other agreement, express or implied, or sell any interest in such person to, any CSIT officer or employee or special CSIT officer or employee having any duties or responsibilities in connection with the purchase, acquisition or sale of any property or services by or to CSIT, or with any person, firm or entity with which he or she is employed or associated or in which he or she has an interest within the meaning of N.J.S.A. 52:13D-13(g). Any relationships subject to this subsection shall be reported in writing to the NJEDA Ethics Liaison Officer and the State Ethics Commission, which may grant a waiver of this restriction upon application of the CSIT officer or employee or special CSIT officer or employee upon a finding that the present or proposed relationship does not present the potential, actually or appearance of a conflict of interest.
- iv. No person shall influence, or attempt to influence or cause to be influenced, any CSIT officer or employee or special CSIT officer or employee in his or her capacity in any manner which might tend to impair the objectivity or independence of judgment of the officer or employee.
- v. No person shall cause or influence, or attempt to cause or influence, any CSIT officer or employee or special CSIT officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person. No person shall cause or influence, or attempt to cause or influence, any CSIT officer or employee or special CSIT officer or employee to use, or attempt to use, his or her official position to secure unwarranted privileges or advantages for the person or any other person.

10. Violation of any State or Federal law that may bear upon a lack of responsibility or moral integrity, or that may provide other compelling reasons for disqualification. Your responses to the foregoing question should include, but not be limited to, the violation of the following laws, without regard to whether there was any monetary award, damages, verdict, assessment or penalty, except that any violation of any environmental law in category (v) below need not be reported where the monetary award, damages, etc. amounted to less than \$1 million.

- i. Laws banning or prohibiting discrimination or harassment in the workplace.
- ii. Laws prohibiting or banning any form of forced, slave, or compulsory labor.
- iii. The New Jersey Conscientious Employee Protection Act, N. J. Stat. Ann. § 34:19-1 et seq., or other "Whistleblower Laws" that protect employees from retaliation for disclosing, or threatening to disclose, to a supervisor or to a public body an activity, policy or practice of the employer, that the employee reasonably believes is in violation of a law, or a rule or regulation issued under the law.
- iv. Securities or tax laws resulting in a finding of fraud or fraudulent conduct.
- v. Environmental laws, where the monetary award, penalties, damages, etc. amounted to more than \$1 million.
- vi. Laws banning anti-competitive dumping of goods.
- vii. Anti-terrorist laws.
- viii. Criminal laws involving commission of any felony or indictable offense under State or Federal law.
- ix. Laws banning human rights abuses.
- x. Laws banning the trade of goods or services to enemies of the United States.

Part B. Pending Proceedings

11. To the best of your knowledge, after reasonable inquiry, are Applicant, or any officers or directors of Applicant, or any Affiliates, a party to pending Legal Proceedings, or the subject of a criminal investigation, wherein any of the offenses or violations described in questions 1-10 above are alleged or asserted against such entity or person? With respect to laws banning or prohibiting discrimination or harassment in the workplace, please provide only information pertaining to any class action lawsuits or individual lawsuits alleging violations under the New Jersey Law Against Discrimination.

If the answer to any of the foregoing questions is affirmative, you must provide the following information as an attachment to the application: (i) the case name and court/administrative agency (including jurisdiction and venue) in which such matters were tried or are pending; (ii) the charges or claims adjudicated or alleged; and (iii) status of the matter (e.g. Pending Dismissed following Settlement, Dismissed following Motion, etc.).

Please Note: An Applicant may refer to or attach specific provisions of a 10-K/Q or other filings with the U.S. Securities and Exchange Commission (SEC); however, the Applicant should be aware that different laws apply to disclosures to the Authority. This means that the Authority does not have the same types of materiality thresholds as the SEC. The Applicant is expected to supplement its SEC filings to ensure that all relevant matters are disclosed to the Authority, including any matters that were below the SEC's materiality threshold and any matters that may have occurred after its most recent filing.

Please Note: Eligibility is determined based on the information presented in the completed Application. If, at any time while engaged with the Authority the Applicant should become aware of any facts that materially alter or change its answers, or that render any of them incomplete or inaccurate, the Applicant has a duty to promptly report such facts to the Authority in writing. The Authority reserves the right to require additional clarifying or explanatory information from the Applicant regarding the answers given, to ask additional questions not contained in this Legal Questionnaire, and to perform its own due diligence investigations and searches.

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CERTIFICATION OF LEGAL QUESTIONNAIRE AND AUTHORIZATION TO RELEASE INFORMATION

This certification shall be signed as follows:

- by applicant's General Counsel or Chief Legal Officer (recommended); or
- for a corporation, by a principal executive officer, at least the level of vice president;
- for a partnership, by a general partner;
- for a sole proprietorship, by the proprietor;
- for a governmental entity, the contact person (business administrator, manager, mayor, etc.);
- for other than above, the person with legal responsibility for the application.

I hereby represent and certify that I have reviewed the information contained in this Legal Questionnaire, and that the foregoing information is true and complete under penalty of perjury. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment. I further agree to inform the New Jersey Commission on Science, Innovation, and Technology of any changes in the foregoing information which may occur prior to execution of any agreement with CSIT, and so long as any such agreement is in effect. Failure to disclose relevant matters may render the Applicant ineligible for the financial benefits sought and may subject the Applicant to disqualification, debarment, suspension, or referral to the office of the State's Attorney General.

The undersigned, on behalf of the Applicant, understands and acknowledges that information and documents provided to the New Jersey Commission on Science, Innovation, and Technology: (1) are subject to public disclosure during deliberations of the CSIT at public meetings regarding the application and as set forth in the minutes of the CSIT's public meetings; and (2) are subject to public disclosure under certain laws, including, but not limited to, the Open Public Records Act, N.J.S.A. 47A:1-1 et seq., and the common law right-to-know.

Electronic Signatures

Pursuant to written policy, the New Jersey Economic Development Authority allows documents to be signed electronically and hereby agrees to be bound by such electronic signatures. Please confirm that you, as a signatory to this document, also agree to be bound by electronic signatures.

Name:

Title:

I am Authorized Signer and I accept the terms and conditions.

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Application ID #203535

Company: Commission on Science Innovation and Technology
Project: asdjdlfjd
Product: Catalyst Research and Development (R&D) Voucher Pilot Program - Round 2

 [HELP / Assistance](#)

Once CSIT receives an Approval Letter from a participating University facility/Federal lab for a potential applicant for the Catalyst R&D Voucher Program, CSIT will provide a verification code that will allow you to complete and submit your application.

If you received the verification code from CSIT, please enter it below.

If you do not have a code, please email csitcatalyst@njeda.com


ENTER VERIFICATION CODE:

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Required Documentation for Final Approval

1. Summary of most recent internal payroll
2. Employee documentation information as appropriate for the Company's structure and staffing (*i.e. most recent Federal 941, NJ WR-30 (W2 employees) or 1099 (contractors), Shareholder Agreement or K-1*)
3. Current NJ tax clearance certificate (listing New Jersey Commission on Science, Innovation and Technology). See [https://www16.state.nj.us/NJ PREMIER EBIZ/jsp/home.jsp](https://www16.state.nj.us/NJ_PREMIER_EBIZ/jsp/home.jsp). All certificates listing another state agency will be rejected.
4. **Signed CSIT Certification**
Please download, sign, and upload the linked Application Certification as an attachment on the next page.

The online application will enable applicants to provide an electronic Application Certification by uploading a signed PDF. However, if an **applicant prefers not to** provide an electronic Application Certification, the applicant may:

a. Mail a hard copy of the signed Application Certification postmarked by the application deadline to CSIT at:
Commission on Science, Innovation and Technology
Attn: Judith Sheft (Executive Director)
36 W State Street
P.O. Box 990
Trenton, NJ 08625

AND

b. Email the signed CSIT Certification to CSIT with the subject line: "MAILED Application Certification Catalyst Research and Development (R&D) Voucher Pilot Program" indicating that the document has been mailed. Only the signed CSIT Legal Certification should be mailed; the rest of the application must be submitted through the online system.

Please note that if an applicant chooses to mail a hard copy of the application certification, the application will only be considered complete once the original signed CSIT application certification post marked by the application deadline is received by CSIT.

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Company: Commission on Science Innovation and Technology
Project: asjdjfd
Product: Catalyst Research and Development (R&D) Voucher Pilot Program - Round 2

Attachments

There are no files currently attached to the application.

Attachments may be submitted in the following formats:

- Microsoft Word (.doc, .docx)
- Microsoft Excel (.xls, .xlsx)
- WordPerfect (.wpd)
- Text (.txt)
- Adobe PDF (.pdf)

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